CAP ATTACH SURFACE MODIFICATION FOR IMPROVED ADHESION

Background of the Invention

1. Technical Field

The present invention relates to a structure, and associated method of formation, in which

5   a metal plate is surface treated to facilitate adhesive bonding to the metal plate.

2. Related Art

In an electronic structure, bonding of an electronic assembly (such as a chip) to an

electronic carrier (such as a chip carrier or circuit card) is typically characterized by a mismatch

in thermal expansion coefficient (CTE) between the electronic assembly and the electronic

10   carrier. As an example, a silicon chip may be adhesively coupled to an organic chip carrier by

controlled collapse chip connection (C4) solder balls such that a thermally-cured rigid thermoset

material encapsulates the C4 solder balls and mechanically couples the chip to the chip carrier.

A silicon chip has a CTE typically in the range of 2 to 3 ppm/^{0}C, while an organic chip carrier

has a CTE typically in the range of 17 to 18 ppm/^{0}C. With the organic chip carrier expanding

15   and contracting thermally more than the chip, the mechanically coupled configuration responds

to a temperature decrease from the cure temperature (e.g., 130 ^{0}C) of the rigid thermoset material

by having the organic chip carrier bend concave downward in the direction away from the chip,

thereby creating the shape of a "frown" in the chip carrier. Since the chip carrier may have a ball

grid array (BGA) on its bottom side for attaching the chip carrier to a circuit card, the chip

20   carrier's downward concavity represents a deviation from planarity that places stresses on the

solder balls of the BGA. Such stresses may distort the shape of the BGA solder balls and subject the BGA solder balls to premature fatigue failure from thermal cycling.

A method currently employed to mitigate the aforementioned CTE mismatch problem utilizes a metallic plate (or cap), such as a stainless steel plate, placed in adhesive contact with both the top surface of the electronic assembly (e.g., chip) and the top surface of the electronic carrier (e.g., chip carrier). The adhesive contact may be accomplished by use of a thermally-cured structural adhesive. The arrangement of this method depends on using a metallic plate whose CTE mismatch with the electronic assembly is not very different from the CTE mismatch between the electronic assembly and the electronic carrier. For example, a stainless steel plate having a CTE of about 17 ppm/^{0}C may be placed in adhesive contact with a silicon chip having a CTE of about 2 to 3 ppm/^{0}C. With the stainless steel plate thermally contracting and expanding more than the chip, the configuration responds to a temperature decrease from the cure temperature (e.g., 130 ^{0}C) of the structural adhesive by having the plate bend concave upward in the direction away from the chip, thereby creating the shape of a "smile" in the plate. The mechanical coupling between the plate and the chip, in concert with the mechanical coupling between the chip and the chip carrier, couples the thermal stresses in the plate to the chip carrier. As a result, the chip carrier is subject to oppositely directed stresses of comparable magnitude, namely the stress associated with the smile and the stress associated with the frown. These oppositely directed stresses cancel, leaving the chip carrier in a nearly planar (rather than curved) configuration. Due to the cancellation of opposing stresses, the resulting configuration is like a loaded spring that can "pop" if the plate should separate from the chip. Thus, the adhesion between the metallic plate and the electronic carrier (e.g., chip) must be strong and durable.

Direct adhesive bonding between a stainless steel plate and a silicon chip is not easily accomplished. A current method for facilitating adhesion between a stainless steel plate and a silicon chip includes: chemically cleaning organic debris from the plate surfaces, etching away a thin layer of stainless steel from a surface of the plate to increase surface roughness and promote

5   subsequent adhesion, and adding a layer of an adhesion promoter, such as a silane, to the etched stainless steel surface. Then, the plate is adhesively bonded to both the chip and the chip carrier by use of a structural epoxy adhesive. Unfortunately, the aforementioned method of adhesive bonding does not reliably produce consistent results. In particular, the adhesion promoter leaves gaps in its coverage of the etched stainless steel surface. Additionally, the strength of the

10   chemical bond between the adhesion promoter and the stainless steel surface diminishes with time. As a result, the plate may prematurely delaminate from the epoxy adhesive.

A method is needed for providing strong and durable adhesive coupling between a metallic cap and an electronic carrier.

Summary of the Invention

15   The present invention provides an electronic structure, comprising: a metallic plate; a mineral layer bonded to the metallic plate; and an adhesion promoter layer bonded to the mineral layer.

The present invention also provides a method for forming an electronic structure, comprising: providing a metallic plate; forming a mineral layer on the metallic plate; and

20   forming an adhesion promoter layer on the mineral layer.

The present invention has the advantage of providing a strong adhesive bond between a metallic plate and an electronic assembly. The present invention advantageously facilitates consistent and uniform coverage of the metallic plate by the adhesion promoter. The present invention has the added advantage of providing a mechanism for dissipating heat from an electronic assembly.

Brief Description of the Drawings

FIG. 1 depicts a side cross-sectional view of a metallic plate, in accordance with a preferred embodiment of the present invention.

FIG. 2 depicts FIG. 1 with an added mineral layer.

FIG. 3 depicts a sputtering deposition chamber, in accordance with the preferred embodiment.

FIG. 4 depicts FIG. 2 with an added adhesion promoter layer.

FIG. 5 depicts FIG. 4 with the metallic plate adhesively coupled to an electronic assembly and to an electronic carrier.

FIG. 6 depicts the configuration of a wedge test.

Detailed Description of the Invention

FIG. 1 illustrates a side cross-sectional view of a metallic plate **10** having a top surface **12** and an edge surface **14**. The top surface **12** and the edge surface **14** meet at a corner **16**. The metallic plate **10** includes a metallic substance that includes a metal with or without a metal coating. The metallic substance may include, inter alia, stainless steel, aluminum, titanium,

copper, copper coated with nickel, and copper coated with chrome. The presence of metal in the metallic substance enables the metallic plate **10** to have sufficiently high thermal conductivity for effectively conducting heat out of an electronic assembly, such as a chip, that will be subsequently bonded to the plate. The metallic plate of the structure of the present invention may

5 have any thickness consistent with both the elastic modulus of the metallic substance and the thickness of the electronic carrier (e.g., laminate chip carrier) to which the metallic plate **10** will subsequently be coupled (see FIG. 5 showing the metallic plate **10** coupled to the electronic carrier **60**). The elastic modulus of the metallic substance and the thickness of the electronic carrier both relate to the force-balancing effectiveness of the metallic plate, as discussed *supra* in

10 the "Related Art" section. For a stainless steel plate and a laminate chip carrier having a thickness between about 25 mils and about 35 mils, a plate thickness in a range of about 5 mils to about 7 mils is preferred. A plate thickness in a range of about 2 mils to about 20 mils is generally acceptable, depending on the elastic modulus of the metallic substance and the thickness of the electronic carrier.

15   It is preferred to initially clean the top surface **12**, and the edge surface **14**, of the metallic plate **10** in the following two steps. The first step chemically cleans the top surface **12** and the edge surface **14** to remove organic debris, such as oil. The second step sputter etches the top surface **12** and the edge surface **14** to remove a layer of the top surface **12** and the edge surface **14**, respectively. While there are no inherent limitations on the thickness of the removed layers,

20 tests have been conducted with sputter etching of stainless steel plates with removal thicknesses in a range of about 50 Å to about 1000 Å. Any known method of sputter etching may be used, such as sputter etching in a low-pressure chamber (e.g., 2×10^{-4} psi) by directing a high-energy

argon gas onto the top surface **12** and the edge surface **14** as the metallic plate **10** moves through

the chamber on a conveyer belt. Alternatively, the metallic plate **10** may remain in a fixed

position in the operating chamber for a predetermined time. The low pressure serves to eliminate

airborne impurities from the chamber. After the two preceding cleaning steps, the top surface **12**

5   and the edge surface **14** of the metallic plate **10** are ready for additional surface treatment.

FIG. 2 illustrates FIG. 1 after a mineral layer **20** is formed on the top surface **12**, and on

the edge surface **14**, of the metallic plate **10**. The mineral layer **20** includes a chemical

compound derived from a mineral; e.g., silicon dioxide (SiO_2) derived from quartz. Such

chemical compounds include, inter alia, silicon dioxide, silicon nitride, and silicon carbide. The

10   chemical compound may exist in either crystalline or amorphous form. The mineral layer **20** has

a bottom surface **22** that is bonded to the top surface **12**, and the edge surface **14**, of the metallic

plate **10**. A top surface **24** of the mineral layer **20** is exposed.

It is within the scope of the present invention to have embodiments in which the mineral

layer **20** covers the entire top surface **12**, or a portion of the top surface **12**, without covering any

15   portion of the edge surface **14**. Nonetheless, it is preferred that the mineral layer **20** cover the

entire top surface **12** as well as the edge surface **14**, as shown in FIG. 2. With the mineral layer

20 covering the entire top surface **12**, the additional coverage of the edge surface **14** prevents a

high concentration of stress at the corner **16** of the metallic plate **10** when the metallic plate **10** is

subsequently adhesively coupled to an electronic carrier.

20   Any known process may be used to form the mineral layer **20** on the metallic plate **10**.

FIG. 3 illustrates such a process, namely the process of sputter deposition of mineral molecules

170, such as SiO_2, on the top surface **12** of the metallic plate **10**, as well as on the edge surface **14**

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of the metallic plate **10**. FIG. 3 shows a simplified sputter deposition chamber **100** with an

enclosure **110**. The sputter deposition chamber **100** illustrated in FIG. 3 includes a target of

quartz **140**, which serves as a source of SiO_2 molecules. Initially, the sputter deposition chamber

100 is evacuated to remove impurities. A gas inlet **150** allows an argon (Ar) gas **160** (or other

applicable gas such as O_2) to pass into the sputter deposition chamber **100**. The argon gas **160** is

ionized and accelerated by processes induced by negative voltage source **200**, such that energetic

argon ions strike the quartz target **140**, causing SiO_2 molecules **170** to be dislodged and ejected

from the quartz target **140** and then deposited on the top surface **12** of the metallic plate **10**. The

metallic plate **10** moves through the sputtering deposition chamber **100** on a conveyor belt **120** in

the direction **130**. Alternatively, the conveyor belt **120** could represent a stationary platform such

that the sputtering deposition process is turned off after a predetermined period of time.

The sputtering deposition process should be adjusted to deposit a mineral layer having a

minimum layer thickness of about 50 Å to ensure continuous coverage of the top surface **12**, and

of the edge surface **14**, of the metallic plate **10**. The maximum thickness of the mineral layer

depends on molecular structural properties of the pertinent chemical compound in the mineral

layer. For example, a mineral layer thickness of up to about 2000 Å protects the structural

integrity of a quartz layer containing crystalline SiO_2. A quartz layer thickness between about

100 Å and about 1000 Å is preferred for reasons of conservatism.

FIG. 4 illustrates FIG. 2 after treatment with an adhesion promoter, such as a silane, to

form an adhesion promoter layer **30** on the mineral layer **20**. The lower surface **32** of the

adhesion promoter layer **30** is bonded to the upper surface **24** of the mineral layer **20**. The

adhesion promoter layer **30** may be formed with an approximately uniform thickness by any

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process known in the art. For example, the SiO$_2$-covered metallic plate **10** may be dipped in a silane solution, followed by dripping off excess solution and drying for several minutes at a moderate temperature (e.g., drying for 15 to 20 minutes at 80 to 100 $^{\circ}$C). The adhesion promoter layer **30** should be thin enough to promote good adhesion with a subsequently applied structural

5　adhesive. In particular, the thickness of the adhesion promoter layer **30** should be between 1 and about 50 monolayers. The adhesion promoter may include, inter alia, any silane adhesion promoter. See Plueddemann, "Silane Coupling Agents," Plenum Press, 1982. For example, the applicable classes of silane adhesion promoters include:

Class	Example
E - P - Si-(OCH$_3$)$_3$	3-glycidoxypropyltrimethoxysilane
E - P - Si-(OC$_2$H$_5$)$_3$	3-glycidoxypropyltriethoxysilane
A - P - Si-(OCH$_3$)$_3$	3-(2-aminoethyl)propyltrimethoxysilane
A - P - Si-(OC$_2$H$_5$)$_3$	3-(2-aminoethyl)propyltriethoxysilane

where P stands for a propyl group, E stands for an epoxy group, and A stands for an amino

15　group. The choice of an E or A in the preceding silane classes impacts the conditions under which the silane reacts when placed in contact with a structural epoxy material (see *infra* discussion of FIG. 5 concerning use of a structural epoxy adhesive for bonding the metallic plate of the present invention with an electronic assembly such as a chip). For example, the glycidoxy group will react with a curing agent in the structural epoxy material so that an elevated

20　temperature may be required to drive the reaction, depending on the properties of the curing agent. In contrast, the aminoethyl group is itself a curing agent which will react with epoxy material at room temperature. Additional adhesion promoters which may be used for the present invention include titanates, zirconates, and aluminates.

The presence of the mineral layer 20 between the metallic plate 10 and the adhesion

promoter layer 30 facilitates strong chemical coupling of the adhesion promoter layer 30 to the

metallic plate 10. With the related art, there is no intervening mineral layer. Thus, in the related

art, an adhesion promoter (e.g., a silane) is formed directly on a metallic plate (e.g., a stainless

steel plate) with a chemical bond having a strong ionic character between the metallic plate and

the adhesion promoter. Such chemical bonding is subject to hydrolysis and is therefore weak in

the presence of moisture. With the mineral layer 20 of the present invention, however, covalent

bonding exists between the mineral layer 20 and the added adhesion promoter, such as between

SiO_2 and silane. Such covalent bonding is moisture resistant. Thus, the structure of the present

invention is not subject to degradation in the presence of moisture.

FIG. 5 illustrates FIG. 4 after the metallic plate 10 is adhesively coupled to an electronic

assembly-carrier configuration, comprising an electronic assembly coupled to an electronic

carrier, such as the module 80. The module 80 includes a chip 50 and a chip carrier 60, wherein

the chip 50 is electrically coupled to the chip carrier 60 by C4 solder balls 55. A rigid thermoset

encapsulating material 58 provides strong mechanical coupling between the chip 50 and the chip

carrier 60. A ball grid array 66 at the bottom surface 64 of the chip carrier 60 facilitates

subsequent attachment of the module 80 to a second electronic carrier such as a circuit card. The

metallic plate 10 is adhesively coupled to the module 80 by the adhesive structure 40 which

couples the top surface 34 of the adhesion promoter layer 30 to the top surface 52 of the chip 50

and to the top surface 62 of the chip carrier 60. Such adhesive coupling may be accomplished by

any known process. An applicable process includes using a structural epoxy adhesive to

accomplish the adhesive bonding, after surface treating with silane both the top surface 52 of the

chip **50** and the top surface **62** of the chip carrier **60**. The structural epoxy adhesive becomes, after curing, the adhesive structure **40**.

The effectiveness of the mineral layer for promoting adhesion between a stainless steel plate and an applied epoxy adhesive was demonstrated by a wedge test. A pre-test phase was initiated by chemically treating a 7-mil thick stainless steel plate to remove organic contaminants. A layer of stainless steel was then removed from the plate by radio-frequency etching, using a high-energy argon gas in a low-pressure (about $2x10^{-4}$ psi) etch chamber. The removed layer thickness varied between about 300 Å and about 800 Å. The preceding chemical cleaning and etching steps served to provide a clean and bondable surface on the stainless steel plate. Next, a uniform layer of SiO_2 was sputter deposited on the clean surface of the stainless steel plate by using argon gas in a sputtering deposition chamber while moving the plate on a conveyor belt through the chamber at constant velocity. The thickness of the SiO_2 layer was varied between about 300 Å and about 600 Å. After being cooled to about 100 °C by circulating nitrogen gas, the plate was dipped into a dilute solution (i.e., less than 2%) of either the silane 3-glycidoxypropyltrimethoxysilane or the silane 3-(2-aminoethyl)propyltrimethoxysilane. Excess silane was dripped off and the adherent silane was bake-dried in a chamber at 80 to 90 °C for about 15 to 20 minutes. The resultant plate, after the aforementioned pre-test treatment, will be referred to as a "test plate." "Control test plates" were also generated in the same manner as described above with omission of the SiO_2 sputter deposition step.

In the wedge test, as depicted in FIG. 6, a first test plate **300** was mechanically bonded to a second test plate **320** in a sandwich, using an interfacing adhesive layer **340** that included a structural adhesive, resulting in a bonded configuration **350**. The first test plate **300** and second

test plate **320** each had a thickness (t) of about 7 mils and were separated in the bonded

configuration by a gap size (g) of about 4 mils. After the epoxy adhesive **340** was cured, a wedge

plate **360**, having a thickness (T) of about 12 mils, was inserted as a wedge between the first test

plate **300** and the second test plate **320** and stressed the interfacial bonding between the first test

plate **300** and the second test plate **320** by generating a crack opening energy of about 50

Joules/m^2. Under the stress due to the wedge plate **360**, the bonded configuration **350** was aged

for 24 hours at a temperature of 70 ^{0}C and a relative humidity of 60%, in order to simulate and

accelerate the effects of realistic thermal loads that ordinarily occur in operating electronic

packages. Next, the wedge plate **360** was removed and the interfacing adhesive layer **340** of the

bonded configuration **350** was subjected stresses that included the combined effects of excessive

moisture, mechanical stress, thermal cycling, and vapor pressure. The preceding stresses were

generated in three sequential steps: a baking step, a soaking step, and a heating step. In the

baking step, the bonded configuration **350** was baked at a temperature of 125 ^{0}C for 24 hours in

order to drive moisture out of the bonded configuration **350**. In the soaking step, the bonded

configuration **350** was subjected to a relative humidity of 85% at a temperature of 85 ^{0}C. The

excess moisture tends to penetrate and soften the interfacing adhesive layer **340**. In the heating

step, the wedge plate **360** was reinserted, so as to introduce mechanical stress at a crack opening

energy of about 3 Joules/m^2, followed by three successive heating cycles. In each heating cycle,

the bonded configuration **350** was heated to an elevated temperature exceeding 200 ^{0}C,

maintained at the elevated temperature for about one minute, and cooled to ambient room

temperature after removal from the heat source. The heating cycles stressed the adhesive

bonding in the bonded configuration **350** by increasing the CTE, and decreasing the elastic

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modulus, of the adhesive. The heating cycles also vaporized excess moisture within the

interfacing adhesive layer **340**, thereby creating a vapor pressure that further stressed the

interfacing adhesive layer **340**.

A "peeling" step was used to test the resulting strength of the interfacing adhesive layer

5 **340** after application of the preceding stresses. In particular, force was applied to the wedge plate

360 to move the wedge plate **360** in the direction **380** toward the interfacing adhesive layer **340**

until the first test plate **300** and the second test plate **320** separated. The surfaces of the first test

plate **300** and the second test plate **320** were examined to determine the percentage (P) of the

adhesive-covered area of a given test plate (**300** or **320**) that became adhesive free upon   --

10 separation from the other test plate. The test "failed" if P exceeded 30% for at least one of the

first test plate **300** and the second test plate **320**; otherwise the test "passed." When the test was

performed 4 times with 4 identical samples each time, and regular test plates (i.e., SiO_2 layer

present) were used, the test always passed. Indeed, P was 0 % for each sample, regardless of

which silane (3-glycidoxypropyltrimethoxysilane or 3-(2-aminoethyl)propyltrimethoxysilane)

15 was used. In contrast, when the control test was performed 7 times with 4 identical samples each

time, using control test plates (i.e., no SiO_2 layer present), the test always failed when 3-

glycidoxypropyltrimethoxysilane was used and failed about 50% of the time when 3-(2-

aminoethyl)propyltrimethoxysilane was used. Thus, the preceding wedge test results

demonstrate the effectiveness of the mineral layer (e.g., SiO_2 layer) of the present invention for

20 promoting and maintaining strong adhesive bonding with a silane-treated metallic plate.

It is also noteworthy that the epoxy bond associated with the present invention was able

to withstand a tensile stress of up to about 10,000 psi in another test, namely a stud pull test. In

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the stud pull test, the head of a stud was bonded with a test plate, using an epoxy adhesive. Tensile stresses of increasing magnitude were applied in an attempt to pull the stud away from the test plate, until the stud separated from the test plate. The test was performed for 24 test plates, corresponding to the etch thicknesses and sputter deposit thicknesses associated with test runs 1-6 in the preceding table and with 4 samples for each test run. The amount of tensile stress required to effectuate the stud separation varied from 9380 psi to 11120 psi for the 24 test plates. Of particular significance is that for all 24 test plates, the epoxy layer was fractured at the onset of stud separation and the SiO_2 layer was left intact.

While preferred and particular embodiments of the present invention have been described herein for purposes of illustration, many modifications and changes will become apparent to those skilled in the art. Accordingly, the appended claims are intended to encompass all such modifications and changes as fall within the true spirit and scope of this invention.